

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Wolfgang FROESTL

Application No.: 10/576,972 Filed: 4/25/2006

Patent No.: 7,498,319 Issue Date: 3/3/2009

Docket Number: 093286-0107

Entitled: PHOSPHINIC ACID DERIVATIVES

NOVARTIS AG
(Name of Assignee)

CORPORATION
(Type of Assignee, e.g., corporation, partnership, university,
government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest
The extent (by percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame _____ or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: NOVARTIS AG To: FROESTL, Wolfgang
The document was recorded in the United States Patent and Trademark Office at
Reel 021426, Frame 0601, or for which a copy thereof is attached.

2. From: FROESTL, Wolfgang To: NOVARTIS AG
The document was recorded in the United States Patent and Trademark Office at
Reel 022145, Frame 0231, which is a Corrective Assignment to correct the error with the Assignor and Assignee
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3. From: _____ To: _____
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- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE:] A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Paul D. Strain
Signature

7/2/09
Date

Paul D. Strain (Reg. No. 47,369)

(202) 672-5540

Printed or Typed Name

Telephone Number

Attorney for Applicant

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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